

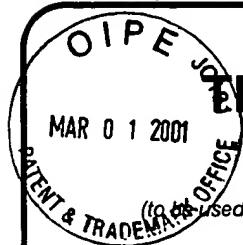
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/767,610
Filing Date	January 22, 2001
First Named Inventor	Mark Moriconi
Group Art Unit	Unknown
Examiner Name	Unknown
Total Number of Pages in This Submission	9
Attorney Docket Number	PA1677US

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### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>(1) Statement of Copending Applications (2) 19 references, and (3) Return Postcard</b>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	
	Information Disclosure Statement includes forms PTO/SB/08A and PTO/SB/08B as well as a separate 2-page Information Disclosure Statement by Applicant. Total page number does not include postcard and references.	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Carr & Ferrell, LLP Cust. No. 22830
Signature	
Date	February 26, 2001

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IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICANTS: Mark Moriconi and Shelly Qian

SERIAL NO.: 09/767,610

FILED: January 22, 2001

TITLE: System and Method for Maintaining Security in a  
Distributed Computer Network

EXAMINER: Unknown

GROUP ART UNIT: Unknown

ATTY.DKT.NO.: PA1677US

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**  
**Under 37 C.F.R. §§ 1.56, and 1.97-1.98**

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO/SB/08A&B listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,

Mark Moriconi and Shelly Qian

Dated: February 26, 2001

By: David Lewis

David Lewis, Reg. No. 33,101

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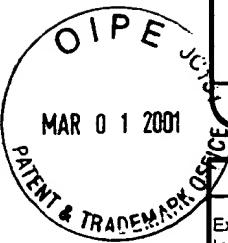
David Lewis

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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/767,610
Filing Date	January 22, 2001			First Named Inventor	Mark Moriconi
Group Art Unit	Unknown			Examiner Name	Unknown
Sheet	1	of	2	Attorney Docket Number	PA1677US



MAR 9 1 2001

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

***Completer if Known***

Application Number	09/767,610
Filing Date	January 22, 2001
First Named Inventor	Mark Moriconi
Group Art Unit	Unknown
Examiner Name	Unknown

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## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (08-00)

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Substitute for form 1449B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/767,610
				Filing Date	January 22, 2001
				First Named Inventor	Mark Moriconi
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	PA1677US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
EMANUELE C1910		ENTITLENET, INC., 6 Webpages from Website, URL: www.entitlenet.com/ Publication Date Unknown, Download Date: 11-17-2000	
EMANUELE C1910		JOHN FIELD, "From Authorization to Transactional Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
EMANUELE C1910		JOHN FIELD, "Dynamic Enterprise Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
EMANUELE C1910		JOHN FIELD, "Using Elara (TM)," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
EMANUELE C1910		AUTHOR UNKNOWN, "AppShield (TM) Version 3.0," (White Paper), Website of Sanctum, Inc., URL: www.sanctuminc.com Published:12/2000	
EMANUELE C1910			

Examiner Signature		Date Considered	
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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Mark Moriconi et al.  
SERIAL NO.: 09/767,610  
FILED: January 22, 2001  
TITLE: System and Method for Maintaining Security in a  
Distributed Computer Network  
EXAMINER: Unknown  
GROUP ART UNIT: Unknown  
ATTY.DKT.NO.: PA1677US

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**List of Co-Pending Patent Applications that may be Related**

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	09/721,557	Mark Moriconi	System and Method for Maintaining Security in a Distributed Computer Network	11/22/00
Examiner's Signature:				Date